

Commonwealth of Kentucky
Alison Lundergan Grimes, Secretary of State

0276132
Alison Lundergan Grimes
KY Secretary of State
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Alison Lundergan Grimes
Secretary of State
P. O. Box 1150
Frankfort, KY 40602-1150
(502) 564-3490
<http://www.sos.ky.gov>

**Annual Report
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ARP

Company:	FINANCIAL INDUSTRY REGULATORY AUTHORITY, INC.
Company ID:	0276132
State of origin:	Delaware
Formation date:	8/13/1990 12:00:00 AM
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Principal Office

1735 K ST., N.W.
WASHINGTON, DC 20006

Registered Agent Name/Address

Corporation Service Company
421 WEST MAIN STREET
FRANKFORT, KY 40601

Current Officers

President	RICHARD G KETCHUM	1735 K STREET, NW WASHINGTON DC 20006
CIO	STEVEN J RANDICH	1735 K STREET, NW WASHINGTON DC 20006
Secretary	MARCIA E ASQUITH	1735 K STREET, NW WASHINGTON DC 20006
Treasurer	TODD T DIGANCI	1735 K STREET, NW WASHINGTON DC 20006
Vice President	ROBERT A RENNER	1735 K STREET, NW WASHINGTON DC 20006

Directors

Director	JOHN J. BRENNAN	439 CHANDLEE DR, BERWYN PA 19312
Director	RICHARD G KETCHUM	1735 K STREET, NW WASHINGTON DC 20006
Director	WILLIAM H HEYMAN	385 WASHINGTON STREET, SAINT PAUL MN 55102
Director	JOHN W SCHMIDLIN	15 SPRINGCROFT ROAD, FAR HILLS NJ 07931
Director	LESLIE F SEIDMAN	10 BEECHWOOD LANE, WESTPORT CT 06880
Director	JAMES D WEDDLE	12555 MANCHESTER ROAD, ST. LOUIS MO 63131
Director	CAROL (JOHN) ANTHONY DAVIDSON	4851 BONITA BAY BLVD STE 1404., BONITA SPRINGS FL 34134
Director	ROBERT KEENAN, JR.	1609 WEST MAIN STREET, RUSSELLVILLE AR 72801
Director	ROCHELLE (SHELLY) LAZARUS	636 11TH AVENUE, NEW YORK NY 10036
Director	BRIGITTE C MADRIAN	79 JFK STREET MAILBOX 114, CAMBRIDGE MA 02138
Director	LUIS M VICEIRA	BAKER LIBRARY 367, BOSTON MA 02163
Director	SUSAN WOLBURGH JENAH	192 CARMICHAEL AVE, TORONTO, ON M5M 2X3, CA
Director	ELISSE B WALTER	7529 ROYAL DOMINION DRIVE, BETHESDA, MD 20817
Director	ROBERT W SCULLY	9 E 79TH STREET, NEW YORK, NY 10075
Director	JOSHUA S LEVINE	315 W 36TH ST 10TH FL, NEW YORK, NY 10018
Director	MARK W CRESAP	259 RADNOR-CHESTER ROAD, SUITE 140, RADNOR, PA 19087
Director	JOSEPH M MECANE	745 SEVENTH AVE 3RD FL, NEW YORK, NY 10019
Director	JOHN W THIEL	2 WORLD FINANCIAL CENTER, 42, NEW YORK, NY 10281
Director	JOSEPH R.V. ROMANO	1560 SHERMAN AVE STE 1300, EVANSTON, IL 60201
Director	KATHLEEN A MURPHY	200 SEAPORT BLVD, V7A, BOSTON, MA 02210

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Director	RANDAL K QUARLES	79 SOUTH MAIN ST 3RD FL, SALT LAKE CITY, UT 84111
Director	BRIAN J KOVACK ESQ	6451 N FEDERAL HIGHWAY STE 1201, FORT LAUDERDAL, FL 33308
Director	CHARLES I PLOSSER	9 LAUREL OAK RD, AMELIA ISLAND, FL 32034

Signatures

**Signature
Title**

ROBERT A RENNER
VICE PRESIDENT

