



**COMMONWEALTH OF KENTUCKY**  
**ALISON LUNDERGAN GRIMES, SECRETARY OF STATE**

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P101  
**Alison Lundergan Grimes**  
**Kentucky Secretary of State**  
Received and Filed:  
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**Division of Business Filings**  
**Business Filings**  
PO Box 718  
Frankfort, KY 40602  
(502) 564-3490  
www.sos.ky.gov

**Certificate of Authority**  
**(Foreign Business Entity)**

FBE

Pursuant to the provisions of KRS 14A and KRS 271B, 273, 274, 275, 362 and 386 the undersigned hereby applies for authority to transact business in Kentucky on behalf of the entity named below and, for that purpose, submits the following statements:

1. The entity is a :  profit corporation (KRS 271B).  nonprofit corporation (KRS 273).  professional service corporation (KRS 274).  
 business trust (KRS 386).  limited liability company (KRS 275).  professional limited liability company (KRS 275).  
 limited partnership (KRS 362).

2. The name of the entity is StoneRiver, Inc.  
(The name must be identical to the name on record with the Secretary of State.)

3. The name of the entity to be used in Kentucky is (if applicable): \_\_\_\_\_  
(Only provide if "real name" is unavailable for use; otherwise, leave blank.)

4. The state or country under whose law the entity is organized is Delaware

5. The date of organization is November 8, 2004 and the period of duration is \_\_\_\_\_  
(If left blank, the period of duration is considered perpetual.)

6. The mailing address of the entity's principal office is  
475 14th Street, Suite 600 Oakland CA 94612  
Street Address City State Zip Code

7. The street address of the entity's registered office in Kentucky is  
421 W. Main Street Frankfort KY 40601  
Street Address (No P.O. Box Numbers) City State Zip Code

and the name of the registered agent at that office is Corporation Service Company

8. The names and business addresses of the entity's representatives (secretary, officers and directors, managers, trustees or general partners):

See the attached list.

Name	Street or P.O. Box	City	State	Zip Code

9. If a professional service corporation, all the individual shareholders, not less than one half (1/2) of the directors, and all of the officers other than the secretary and treasurer are licensed in one or more states or territories of the United States or District of Columbia to render a professional service described in the statement of purposes of the corporation.

10. I certify that, as of the date of filing this application, the above-named entity validly exists under the laws of the jurisdiction of its formation.

11. If a limited partnership, it elects to be a limited liability limited partnership. Check the box if applicable:

12. This application will be effective upon filing, unless a delayed effective date and/or time is provided.  
The effective date or the delayed effective date cannot be prior to the date the application is filed. The date and/or time is \_\_\_\_\_  
(Delayed effective date and/or time)

Julia A. Jensen Julia A. Jensen, Secretary 3/7/14  
Signature of Authorized Representative Printed Name & Title Date

CONNIE WOOD ON BEHALF OF CORPORATION SERVICE COMPANY consent to serve as the registered agent on behalf of the business entity.  
Type/Print Name of Registered Agent  
Connie Wood CONNIE WOOD ASST. SECRETARY 3/11/14  
Signature of Registered Agent Printed Name Title Date

**Director and Officers  
of  
StoneRiver, Inc.**

Office/Title	Name	Address
Director	Kenneth L. Dowd, Jr.	250 N. Sunny Slope Road Suite 110 Brookfield, WI 53005
President	Gary Anderson	3701 Algonquin Road Suite 400 Rolling Meadows, IL 60008
Treasurer	David DeClark	250 N. Sunny Slope Road Suite 110 Brookfield, WI 53005
Secretary	Julia A. Jensen	250 N. Sunny Slope Road Suite 110 Brookfield, WI 53005
Assistant Treasurer	Costa John	475 14 <sup>th</sup> Street Suite 600 Oakland, CA 94612
Controller	David Toepel	250 N. Sunny Slope Road Suite 110 Brookfield, WI 53005