

Commonwealth of Kentucky
Michael G. Adams, Secretary of State

PARP
0666035
Michael G. Adams
KY Secretary of State
Received and Filed
6/10/2021 3:32:57 PM
Fee receipt: **\$15.00**

Michael G. Adams
Secretary of State
P. O. Box 1150
Frankfort, KY 40602-1150
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**Annual Report
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ARP

Company: B. RILEY WEALTH MANAGEMENT, INC.
Company ID: 0666035
State of origin: Tennessee
Formation date: 6/5/2007 12:00:00 AM
Date filed: 6/10/2021 3:32:57 PM
Fee: \$15.00

Principal Office

40 SOUTH MAIN STREET
SUITE 1800
MEMPHIS, TN 38103

Registered Agent Name/Address

C T CORPORATION SYSTEM
306 W. MAIN STREET
SUITE 512
FRANKFORT, KY 40601

Current Officers

President	Chuck Hastings	40 South Main Street,Suite 1800, Memphis, Tennessee 38103
Secretary	Stephen Bonnema	40 South Main Street,Suite 1800, Memphis, Tennessee 38103
Treasurer	Stephen Bonnema	40 South Main Street,Suite 1800, Memphis, Tennessee 38103

Directors

Director	Phillip J. Ahn	40 South Main Street,Suite 1800, Memphis, Tennessee 38103
Director	Thomas Kelleher	40 South Main Street,Suite 1800, Memphis, Tennessee 38103
Director	Bryant Riley	40 South Main Street,Suite 1800, Memphis, Tennessee 38103
Director	Philip Zanone	40 South Main Street,Suite 1800, Memphis, Tennessee 38103

Shareholders

Shareholder	Tracey Leighton Wiswall
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Signatures

Signature	Adam Steimel
Title	Power of Attorney