

**Commonwealth of Kentucky**  
**Alison Lundergan Grimes, Secretary of State**

PARP  
**0772537**  
Alison Lundergan Grimes  
KY Secretary of State  
Received and Filed  
**5/30/2012 11:13:32 AM**  
Fee receipt: **\$15.00**

Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

**Annual Report  
Online Filing**

**ARP**

**Company:** CUTWATER INVESTOR SERVICES CORP.  
**Company ID:** 0772537  
**State of origin:** Delaware  
**Formation date:** 9/30/2010 12:00:00 AM  
**Date filed:** 5/30/2012 11:13:32 AM  
**Fee:** \$15.00

**Principal Office**

113 KING STREET, ATTN: LEGAL DEPARTMENT  
ARMONK, NY 10504

**Registered Agent Name/Address**

CT CORPORATION SYSTEM  
306 W. MAIN STREET  
FRANKFORT, KY 40601

**Current Officers**

CEO	Clifford D Corso	113 King Street, Armonk, NY 10504
CFO	Joseph L Sevely	113 King Street, Armonk, NY 10504
COO	Chris Moros	113 King Street, Armonk, NY 10504
Assistant Secretary	Gail D Makode	113 King Street, Armonk, NY 10504
Secretary	Leonard I Chubinsky	113 King Street, Armonk, NY 10504

**Directors**

Director	E. Gerard Berrigan	113 King Street, Armonk, NY 10504
Director	Clifford D Corso	113 King Street, Armonk, NY 10504
Director	William C Fallon	113 King Street, Armonk, NY 10504
Director	Joseph L Sevely	113 King Street, Armonk, NY 10504

**Signatures**

<b>Signature</b>	Leonard I. Chubinsky
<b>Title</b>	Secretary