

0772537

Alison Lundergan Grimes

KY Secretary of State

Received and Filed

6/5/2015 5:29:46 PM

Fee receipt: \$15.00

# Commonwealth of Kentucky

## Alison Lundergan Grimes, Secretary of State

Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

### Annual Report Online Filing

ARP

**Company:** CUTWATER INVESTOR SERVICES CORP.  
**Company ID:** 0772537  
**State of origin:** Delaware  
**Formation date:** 9/30/2010 12:00:00 AM  
**Date filed:** 6/5/2015 5:29:46 PM  
**Fee:** \$15.00

#### Principal Office

113 KING STREET, ATTN: LEGAL DEPARTMENT  
ARMONK, NY 10504

#### Registered Agent Name/Address

CT CORPORATION SYSTEM  
306 W. MAIN STREET  
FRANKFORT, KY 40601

#### Current Officers

President	Clifford D. Corso	113 King Street, Armonk, NY 10504-1611
Secretary	Patricia A. Bicket	113 King Street, Armonk, NY 10504-1611
Treasurer	Joseph L. Sevely	113 King Street, Armonk, NY 10504-1611

#### Directors

Director	John Ariola	113 King Street, Armonk, NY 10504-1611
Director	Jeffrey Bernstein	113 King Street, Armonk, NY 10504-1611
Director	John Bluemke	113 King Street, Armonk, NY 10504-1611
Director	Greg Allan Brisk	113 King Street, Armonk, NY 10504-1611
Director	Brian Carlson	113 King Street, Armonk, NY 10504-1611
Director	Jason Celente	113 King Street, Armonk, NY 10504-1611
Director	Robert T. Claiborne	113 King Street, Armonk, NY 10504-1611
Director	Clifford D. Corso	113 King Street, Armonk, NY 10504-1611
Director	James B. DiChiaro	113 King Street, Armonk, NY 10504-1611
Director	Mary K. Donovan	113 King Street, Armonk, NY 10504-1611
Director	Charles Farquharson	113 King Street, Armonk, NY 10504-1611
Director	Mitchell Evan Harris	113 King Street, Armonk, NY 10504-1611
Director	Kevin G. Loescher	113 King Street, Armonk, NY 10504-1611
Director	Bertha D. Lui-McKee	113 King Street, Armonk, NY 10504-1611
Director	Eric A. McAlley	113 King Street, Armonk, NY 10504-1611
Director	Abdallah Habib Nauphal	113 King Street, Armonk, NY 10504-1611
Director	Josepn Nelson	113 King Street, Armonk, NY 10504-1611
Director	Alexander Ng	113 King Street, Armonk, NY 10504-1611
Director	Teresa Schwartz	113 King Street, Armonk, NY 10504-1611
Director	Thomas Stabile	113 King Street, Armonk, NY 10504-1611
Director	Richard S. Talmadge	113 King Street, Armonk, NY 10504-1611
Director	Laura E. Thorne-Trawinski	113 King Street, Armonk, NY 10504-1611
Director	Thomas F. Vandermark	113 King Street, Armonk, NY 10504-1611

**Commonwealth of Kentucky**  
**Alison Lundergan Grimes, Secretary of State**

Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

**Annual Report  
Online Filing**

**ARP**

Director

David W. Witthohn

113 King Street, Armonk, NY 10504-1611

**Signatures**

**Signature  
Title**

Kelly Lettmann  
POA

