

**Commonwealth of Kentucky**  
**Alison Lundergan Grimes, Secretary of State**

PARP  
**0789737**  
Alison Lundergan Grimes  
KY Secretary of State  
Received and Filed  
7/2/2013 4:53:24 PM  
Fee receipt: \$15.00

Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

**Annual Report  
Online Filing**

**ARP**

**Company:** Equity Settlement Services Inc.  
**Company ID:** 0789737  
**State of origin:** New York  
**Formation date:** 4/19/2011 12:00:00 AM  
**Date filed:** 7/2/2013 4:53:24 PM  
**Fee:** \$15.00

**Principal Office**

400 West Market Street  
Suite 1800  
Louisville, KY 40202

**Registered Agent Name/Address**

National Registered Agents, Inc.  
306 W. MAIN STREET  
SUITE 512  
FRANKFORT, KY 40601

**Current Officers**

CEO	Charles P Ferraro	444 Route 111 Smithtown NY 11787
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**Directors**

Director	Charles P Ferraro	444 Route 111 Smithtown NY 11787
Director	Christopher Delisle	444 Route 111 Smithtown NY 11787
Director	Barry Essig	444 Route 111 Smithtown NY 11787
Director	Peter Puleo	444 Route 111 Smithtown NY 11787

**Signatures**

<b>Signature</b>	Charles P Ferraro
<b>Title</b>	CEO