



**COMMONWEALTH OF KENTUCKY**  
**MICHAEL G. ADAMS, SECRETARY OF STATE**

**1199538.06**dwilliams  
ADD

**Michael G. Adams**  
**Kentucky Secretary of State**  
 Received and Filed:  
 3/29/2022 1:09 PM  
 Fee Receipt: \$90.00

**Division of Business Filings**

P.O. Box 718  
 Frankfort, KY 40602  
 (502) 564-3490  
[www.sos.ky.gov](http://www.sos.ky.gov)

**Certificate of Authority**  
 (Foreign Business Entity)

Pursuant to the provisions of KRS 14A – 030 the undersigned hereby applies for authority to transact business in Kentucky on behalf of the entity named below and, for that purpose, submits the following statements:

1. The entity is a: ☐ profit corporation ☐ nonprofit corporation ☐ professional limited liability company  
☐ business trust ☒ limited liability company ☐ statutory trust  
☐ limited partnership ☐ ltd cooperative association ☐ other  
☐ non-profit llc ☐ professional service corporation

2. The name of the entity is Unchained Trading, LLC  
 (The name must be identical to the name on record with the Secretary of State.)

3. The name of the entity to be used in Kentucky is (if applicable): \_\_\_\_\_  
 (Only provide if "real name" is unavailable for use; otherwise, leave blank.)

4. The state or country under whose law the entity is organized is Texas

5. The date of organization is August 24, 2021 and the period of duration is Perpetual  
 (If left blank, duration is considered perpetual.)

6. The mailing address of the entity's principal office is  
601 Congress Avenue, Suite 200 Austin TX 78701  
 Street Address City State Zip Code

7. The street address of the entity's registered office in Kentucky is  
828 Lane Allen Rd Ste 219 Lexington KY 40504  
 Street Address (No P.O. Box Numbers) City State Zip Code

and the name of the registered agent at that office is Capitol Corporate Services, Inc.

8. The names and business addresses of the entity's representatives (secretary, officers and directors, managers, trustees or general partners):

Name	Street or P.O. Box	City	State	Zip Code
Joseph Kelly	601 Congress Avenue, Suite 200	Austin	TX	78701
Michael Ciesla	601 Congress Avenue, Suite 200	Austin	TX	78701

9. If a professional service corporation, all the individual shareholders, not less than one half (1/2) of the directors, and all of the officers other than the secretary and treasurer are licensed in one or more states or territories of the United States or District of Columbia to render a professional service described in the statement of purposes of the corporation.

10. I certify that, as of the date of filing this application, the above-named entity validly exists under the laws of the jurisdiction of its formation.

11. If a limited partnership, it elects to be a limited liability limited partnership. Check the box if applicable: ☐

12. If a limited liability company, check box if manager-managed: ☒

13. This application will be effective upon filing.

Signature of Authorized Representative	Printed Name & Title	Date
 DocuSigned by: Mike Ciesla	Michael Ciesla, Treasurer	3/21/2022

I, Capitol Corporate Services, Inc., consent to serve as the registered agent on behalf of the business entity.  
 Type/Print Name of Registered Agent

Signature of Registered Agent	Printed Name	Title	Date
	Mary Fink	Assistant Secretary	03/29/2022



## Office of the Secretary of State

### Certificate of Fact

The undersigned, as Secretary of State of Texas, does hereby certify that the document, Certificate of Formation for Unchained Trading, LLC (file number 804203388), a Domestic Limited Liability Company (LLC), was filed in this office on August 17, 2021.

It is further certified that the entity status in Texas is in existence.

In testimony whereof, I have hereunto signed my name officially and caused to be impressed hereon the Seal of State at my office in Austin, Texas on March 28, 2022.



A handwritten signature in black ink, appearing to read "John B. Scott".

John B. Scott  
Secretary of State