

**Commonwealth of Kentucky**  
**Michael G. Adams, Secretary of State**

**0539040**  
**Michael G. Adams**  
**KY Secretary of State**  
Received and Filed  
**4/14/2021 3:28:02 PM**  
Fee receipt: **\$15.00**

PARP

Michael G. Adams  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

**Annual Report  
Online Filing**

**ARP**

**Company:** ALLIANCEBERNSTEIN INVESTMENTS, INC.  
**Company ID:** 0539040  
**State of origin:** Delaware  
**Formation date:** 6/17/2002 12:00:00 AM  
**Date filed:** 4/14/2021 3:28:02 PM  
**Fee:** \$15.00

**Principal Office**

1345 AVENUE OF THE AMERICAS  
NEW YORK, NY 10105

**Registered Agent Name/Address**

C T CORPORATION SYSTEM  
306 W MAIN ST  
SUITE 512  
FRANKFORT, KY 40601

**Current Officers**

CFO	GARY KRUEGER	1345 Avenue of the Americas NY, NY 10105
Secretary	MARK MANLEY	1345 Avenue of the Americas NY, NY 10105

**Directors**

Director	MARK MANLEY	1345 Avenue of the Americas NY,NY 10105
Director	ROBERT KEITH	1345 Avenue of the Americas NY,NY 10105
Director	DAVID LESSER	1345 Avenue of the Americas NY,NY 10105

**Signatures**

<b>Signature</b>	Nicholas Naclerio
<b>Title</b>	Global Tax Director