

Commonwealth of Kentucky
Alison Lundergan Grimes, Secretary of State

PARP
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Alison Lundergan Grimes
KY Secretary of State
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Alison Lundergan Grimes
Secretary of State
P. O. Box 1150
Frankfort, KY 40602-1150
(502) 564-3490
<http://www.sos.ky.gov>

Annual Report
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ARP

Company: ADVISOR GROUP, INC.
Company ID: 0947540
State of origin: Maryland
Formation date: 3/18/2016 12:00:00 AM
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Principal Office

10 EXCHANGE PLACE
SUITE 1410
JERSEY CITY, NJ 07302

Registered Agent Name/Address

CORPORATION SERVICE COMPANY
421 WEST MAIN STREET
FRANKFORT, KY 40601

Current Officers

| | | |
|-----------|---------------|---|
| President | JAMIE PRICE | Suite 1410 10 Exchange Place, Jersey City, NJ 07302 |
| Secretary | NOAH D SORKIN | Suite 1410 10 Exchange Place, Jersey City, NJ 07302 |
| Treasurer | DAVID SCHMIDT | Suite 2100 2800 N Central Ave, Phoenix, AZ 85004 |

Directors

| | | |
|----------|-------------------|--|
| Director | AHMED HASSANEIN | Suite 2100 2800 N. Central Ave., Jersey City, NJ 07302 |
| Director | JAMIE PRICE | Suite 1410 10 Exchange Place, Jersey City, NJ 07302 |
| Director | MATTHEW SCHLUETER | Suite 1100 2300 Windy Ridge Pkwy, Atlanta, GA 30339 |

Signatures

| | |
|------------------|----------------|
| Signature | Noah D. Sorkin |
| Title | Secretary |