

Commonwealth of Kentucky
Alison Lundergan Grimes, Secretary of State

PARP
0653741
Alison Lundergan Grimes
KY Secretary of State
Received and Filed
6/20/2018 2:58:08 PM
Fee receipt: \$15.00

Alison Lundergan Grimes
Secretary of State
P. O. Box 1150
Frankfort, KY 40602-1150
(502) 564-3490
<http://www.sos.ky.gov>

Annual Report
Online Filing

ARP

Company: FIRST ALLIED ADVISORY SERVICES, INC.
Company ID: 0653741
State of origin: Delaware
Formation date: 12/27/2006 12:00:00 AM
Date filed: 6/20/2018 2:58:08 PM
Fee: \$15.00

Principal Office

655 WEST BROADWAY
12TH FLOOR
SAN DIEGO, CA 92101

Registered Agent Name/Address

CT CORPORATION SYSTEM
306 W. MAIN STREET
SUITE 512
FRANKFORT, KY 40601

Current Officers

Assistant Secretary	GREG OLSON	200 N SEPULVEDA BLVD STE 1200 EL SEGUNDO CA 90245
Treasurer	MARK P. SHELSON	400 1ST ST SOUTH STE 300 ST CLOUD MN 56301
Vice President	STANLEY SMILEY	200 N SEPULVEDA BLVD STE 1200 EL SEGUNDO CA 90245

Directors

Director	BRETT HARRISON	4600 SOUTH SYRACUSE ST STE 600 DENVER CO 80237
Director	ADAM ANTONIADES	655 W BROADWAY 12TH FL SAN DIEGO CA 92101

Business size:	Small
Business type:	Security & Commodity Brokers, Dealers, Exchanges & Services

Signatures

Signature	GREG OLSON
Title	ASSISTANT SECRETARY