

Commonwealth of Kentucky  
Alison Lundergan Grimes, Secretary of State

PARP

0653741

Alison Lundergan Grimes  
KY Secretary of State

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Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

Annual Report  
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ARP

**Company:** FIRST ALLIED ADVISORY SERVICES, INC.  
**Company ID:** 0653741  
**State of origin:** Delaware  
**Formation date:** 12/27/2006 12:00:00 AM  
**Date filed:** 6/17/2019 9:56:18 AM  
**Fee:** \$15.00

**Principal Office**

655 WEST BROADWAY  
12TH FLOOR  
SAN DIEGO, CA 92101

**Registered Agent Name/Address**

CORPORATION SERVICE COMPANY  
421 WEST MAIN STREET  
FRANKFORT, KY 40601

**Current Officers**

Assistant Secretary	GREG OLSON	200 N PACIFIC COAST HWY STE 1200 EL SEGUNDO CA 90245
Treasurer	MARK P. SHELSON	400 1ST ST SOUTH STE 300 ST CLOUD MN 56301
Vice President	STANLEY SMILEY	200 N PACIFIC COAST HWY STE 1200 EL SEGUNDO CA 90245

**Directors**

Director	BRETT HARRISON	4600 SOUTH SYRACUSE ST STE 600 DENVER CO 80237
Director	JOSEPH NEARY	200 N PACIFIC COAST HWY STE 1200 EL SEGUNDO CA 90245

Business size:	Small
Business type:	Security & Commodity Brokers, Dealers, Exchanges & Services

**Signatures**

Signature	MARK SHELSON
Title	TREASURER