PARP

Commonwealth of Kentucky Alison Lundergan Grimes, Secretary o

0545142 Alison Lundergan Grimes KY Secretary of State Received and Filed 6/15/2017 11:35:07 AM

Fee receipt: \$15.00

Alison Lundergan Grimes Secretary of State P. O. Box 1150 Frankfort, KY 40602-1150 (502) 564-3490 http://www.sos.ky.gov

Annual Report Online Filing

ARP

Company: LEGG MASON FINANCIAL SERVICES, INC.

Company ID: 0545142 State of origin: Maryland

Formation date: 9/24/2002 12:00:00 AM Date filed: 6/15/2017 11:35:07 AM

Fee: \$15.00

Principal Office

388 GREENWICH ST NEW YORK, NY 10013

Registered Agent Name/Address

National Registered Agents, Inc. 306 W. MAIN STREET SUITE 512 FRANKFORT, KY 40601

Current Officers

Authorized Rep JULIE SCHMIDT 8800 HIDDEN RIVER PARKWAY, TAMPA, FL 33637
President SCOTT L FLOOD 388 GREENWICH ST, NEW YORK, NY 10013
Treasurer VICTOR SPADAFORA 1 COURT SQUARE, LONG ISLAND CITY, NY 11101
Secretary RACHEL STINE 388 GREENWICH ST, NEW YORK, NY 10013

Directors

Director DONALD BENDERNAGEL 388 GREENWICH ST, NEW YORK, NY 10013 Director SCOTT L FLOOD 388 GREENWICH ST, NEW YORK, NY 10013

Signatures

Signature JULIE SCHMIDT

Title ASSISTANT TAX OFFICER