



COMMONWEALTH OF KENTUCKY  
MICHAEL G. ADAMS, SECRETARY OF STATE

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ASN

Michael G. Adams  
Kentucky Secretary of State  
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Division of Business Filings  
Business Filings  
P.O. Box 718,  
Frankfort, KY 40602  
(502) 564-3490  
www.sos.ky.gov

Certificate of Assumed Name  
(Domestic or Foreign Business Entity)

ASN

Pursuant to the provisions of KRS 365, the undersigned applies to assume a name and, for that purpose, submits the following statement:

1. The assumed name is: Focus Partners | Retirement Solutions

2. The name of the business entity (and in the case of general partnership, the partners) that is/are adopting the assumed name:

Focus Partners Wealth, LLC

Name must be identical to the name on record with the Secretary of State.)

3. The "real name" is (you must check one):

- ☐ a Domestic General Partnership
- ☐ a Domestic Limited Liability Partnership
- ☐ a Domestic Limited Partnership
- ☐ a Domestic Business Trust
- ☐ a Domestic Corporation
- ☐ a Domestic Limited Liability Company
- ☐ a Domestic Statutory Trust
- ☐ a Domestic Limited Cooperative Association
- ☐ a Domestic Unincorporated Non-profit Association

- ☐ a Foreign General Partnership
- ☐ a Foreign Limited Liability Partnership
- ☐ a Foreign Limited Partnership
- ☐ a Foreign Business Trust
- ☐ a Foreign Corporation
- ☒ a Foreign Limited Liability Company
- ☐ a Foreign Statutory Trust
- ☐ a Foreign Limited Cooperative Association
- ☐ a Foreign Unincorporated Non-profit Association

4. The business is organized and existing in the state or country of Delaware

5. The mailing address is:

875 Third Avenue, 28th Floor

New York

NY

10022

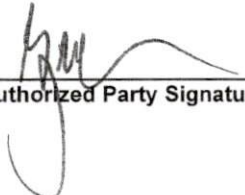
Street Address or Post Office Box Numbers

City

State

Zip

I declare under penalty of perjury under the laws of Kentucky that the forgoing is true and correct.

  
Authorized Party Signature

Gregory Woods

Printed Name

Authorized Person

Title

02/25/2025

Date