

# Kentucky Secretary of State Annual Report

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**Company** RBC DAIN RAUSCHER INC.  
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## Principal Office

60 SOUTH SIXTH  
MINNEAPOLIS, MN 55402

## Registered Agent

CT CORPORATION SYSTEM  
KENTUCKY HOME LIFE BUILDING  
LOUISVILLE, KY 40202

## Officers

President	JOHN G TAFT	60 S Sixth Street, Minneapolis, MN 55402
Assistant Secretary	ESTHER S HELLWIG	165 Broadway, 5th Fl, New York, NY 10006
Secretary	LISA D LEVEY	165 Broadway, 5th Fl, New York, NY 10006
Treasurer	DEBORAH J KERMEEN	60 S. Sixth Street, Minneapolis, MN 55402
Vice President	LAWRENCE HOLTZ	60 S Sixth Street, Minneapolis, MN 55402

## Directors

Director	JOHN G TAFT	60 S Sixth Street, Minneapolis, MN 55402
Director	LAWRENCE HOLTZ	60 S Sixth Street, Minneapolis, MN 55402
Director	MICHAEL R KAVANAGH	60 S Sixth Street, Minneapolis, MN 55402
Director	MARY E ZIMMER	60 S Sixth Street, Minneapolis, MN 55402

## Signatures

**Signature** Esther S. Hellwig  
**Title** Assistant Secretary