

# Kentucky Secretary of State Annual Report

**This Annual Report was submitted electronically**

|                   |                                     |
|-------------------|-------------------------------------|
| <b>Company</b>    | WENTWORTH, HAUSER AND VIOLICH, INC. |
| <b>Company ID</b> | 0638845.09.99999                    |
| <b>Date Filed</b> | 3/26/2008 4:11:32 PM                |
| <b>Fee</b>        | \$15.00                             |

## Principal Office

801 SECOND AVE. SUITE 1210  
SEATTLE, WA 98104

## Registered Agent

NATIONAL CORPORATE RESEARCH, LTD.  
828 LANE ALLEN ROAD  
SUITE 219  
LEXINGTON, KY 40504

## Officers

|                |                     |                                               |
|----------------|---------------------|-----------------------------------------------|
| CEO            | Steven A. Rhone     | 801 Second Avenue Ste 1210, Seattle, WA 98104 |
| CFO            | Earl W. Bell        | 801 Second Avenue Ste 1210, Seattle, WA 98104 |
| President      | Judith R. Stevens   | 801 Second Avenue Ste 1210, Seattle, WA 98104 |
| Vice President | George B. Springman | 801 Second Avenue Ste 1210, Seattle, WA 98104 |
| Vice President | Deirdre J.G. Porter | 801 Second Avenue Ste 1210, Seattle, WA 98104 |

## Directors

|          |                    |                                               |
|----------|--------------------|-----------------------------------------------|
| Director | Thomas C. Edwards  | 801 Second Avenue Ste 1210, Seattle, WA 98104 |
| Director | C. Budd Colby      | 801 Second Avenue Ste 1210, Seattle, WA 98104 |
| Director | Jeffery S. Vincent | 801 Second Avenue Ste 1210, Seattle, WA 98104 |
| Director | Gary R. Severson   | 801 Second Avenue Ste 1210, Seattle, WA 98104 |
| Director | Steven A. Rhone    | 801 Second Avenue Ste 1210, Seattle, WA 98104 |
| Director | Judith R. Stevens  | 801 Second Avenue Ste 1210, Seattle, WA 98104 |
| Director | David R. Wood      | 801 Second Avenue Ste 1210, Seattle, WA 98104 |
| Director | W. Kurt Hauser     | 801 Second Avenue Ste 1210, Seattle, WA 98104 |

## Signatures

|                  |                            |
|------------------|----------------------------|
| <b>Signature</b> | Pam Young                  |
| <b>Title</b>     | Paralegal/Graham & Dunn PC |