

Commonwealth of Kentucky  
Michael G. Adams, Secretary of State

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1411946.06  
Michael G. Adams  
Secretary of State  
Received and Filed  
11/27/2024 12:00:00 AM  
Fee receipt: \$90

Michael G. Adams  
Secretary of State  
P. O. Box 718  
Frankfort, KY 40602-0718  
(502) 564-3490  
<http://www.sos.ky.gov>

Certificate of Authority

FBE

Pursuant to the provisions of KRS 14A.9 - 030 the undersigned hereby applies for authority to transact business in Kentucky on behalf of the entity named below and, for that purpose, submits the following statements:

1. The entity is a **limited liability company**.

2. The name of the entity is

**NEWEDGE SECURITIES, LLC**

3. The state or country under whose law the entity is organized is **Pennsylvania**.

4. The date of organization is **12/14/1981** and the period of duration is **perpetual**.

5. The mailing address of the entity's principal office is

**1251 Waterfront Place, Pittsburgh, PA 15222**

6. The name of the initial registered agent is

**CT Corporation System**

and the street address of the entity's initial registered office in Kentucky is

**306 W. Main Street, Suite 512, Frankfort, KY 40601**

7. The names and business addresses of the entity's representatives:

<b>Manager</b>	Kyle Smith	1251 Waterfront Place, Suite 510, Pittsburgh, PA 15222
<b>Organizer</b>	Kyle Smith	1251 Waterfront Place, Suite 510, Pittsburgh, PA 15222
<b>Manager</b>	Joseph F Banco	1251 Waterfront Place, Suite 510, Pittsburgh, PA 15222
<b>Organizer</b>	Joseph F Banco	1251 Waterfront Place, Suite 510, Pittsburgh, PA 15222

8. This entity is managed by **Managers**.

9. This filing will be effective on **Wednesday, November 27, 2024**.

I declare under penalty of perjury under the laws of the state of Kentucky that the foregoing is true and correct.

Signature of individual signing on behalf of **CFO: Joseph F. Banco**

I, **CT Corporation System**, consent to sign  
**System** who serves as the Registered Agent  
entity on Wednesday, November 27, 2024.

**1411946.06****Michael G. Adams****Secretary of State**

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