

**Commonwealth of Kentucky**  
**Alison Lundergan Grimes, Secretary of State**

PARP  
**0307947**  
Alison Lundergan Grimes  
KY Secretary of State  
Received and Filed  
**5/15/2013 9:18:37 PM**  
Fee receipt: **\$15.00**

Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

**Annual Report  
Online Filing**

**ARP**

**Company:** CUNA BROKERAGE SERVICES, INC.  
**Company ID:** 0307947  
**State of origin:** Wisconsin  
**Formation date:** 11/30/1992 12:00:00 AM  
**Date filed:** 5/15/2013 9:18:37 PM  
**Fee:** \$15.00

**Principal Office**

5910 Mineral Point Road  
Madison, WI 53705

**Registered Agent Name/Address**

C T CORPORATION SYSTEM  
306 W MAIN ST  
SUITE 512  
FRANKFORT, KY 40601

**Current Officers**

|           |                 |                                            |
|-----------|-----------------|--------------------------------------------|
| President | James H. Metz   | 5910 Mineral Point Road, Madison, WI 53705 |
| Secretary | Ross D. Hansen  | 5910 Mineral Point Road, Madison, WI 53705 |
| Treasurer | Kevin R. Cummer | 5910 Mineral Point Road, Madison, WI 53705 |

**Directors**

|          |                   |                                            |
|----------|-------------------|--------------------------------------------|
| Director | Richard R. Roy    | 5910 Mineral Point Road, Madison, WI 53705 |
| Director | James H. Metz     | 5910 Mineral Point Road, Madison, WI 53705 |
| Director | Thomas J. Merfeld | 5910 Mineral Point Road, Madison, WI 53705 |

**Signatures**

|                  |             |
|------------------|-------------|
| <b>Signature</b> | Laura Louis |
| <b>Title</b>     | POA         |