Commonwealth of Kentucky Alison Lundergan Grimes, Secretary o

0633348 Alison Lundergan Grimes KY Secretary of State Received and Filed

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Alison Lundergan Grimes Secretary of State P. O. Box 1150 Frankfort, KY 40602-1150 (502) 564-3490 http://www.sos.ky.gov

Annual Report Online Filing

ARP

Company: CREDIT SUISSE (USA), INC.

Company ID: 0633348
State of origin: Delaware

Formation date: 3/1/2006 12:00:00 AM **Date filed:** 6/25/2013 3:49:48 PM

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Principal Office

11 MADISON AVE. NY, NY 10010

Registered Agent Name/Address

CORPORATION SERVICE COMPANY D/B/A CSC-LAWYERS INCO 421 WEST MAIN STREET FRANKFORT, KY 40601

Current Officers

President	ROBERT SHAFIR	11 Madison Avenue, NY, NY 10010
Secretary	MARY KATE WYNPERLE	1 Madison Avenue, NY, NY 10010
Treasurer	Peter Feeney	11 Madison Avenue, NY, NY 10010
Vice President	Douglas Roseman	11 Madison Avenue, NY, NY 10010

Directors

JIM AMINE	11 Madison Avenue, New York, NY 10010
Lewis H Wirshba	11 Madison Avenue, New York, NY 10010
STEVEN GARNETT	11 Madison Avenue, New York, NY 10010
TIMOTHY O'HARA	11 Madison Avenue, New York, NY 10010
CARLOS ONIS	11 Madison Avenue, New York, NY 10010
ALASTAIR CAIRNS	11 Madison Avenue, New York, NY 10010
JON KINOL	11 Madison Avenue, New York, NY 10010
NORM PARTON	11 Madison Avenue, New York, NY 10010
EDMUND TAYLOR	11 Madison Avenue, New York, NY 10010
ROBERT SHAFIR	11 Madison Avenue, New York, NY 10010
PHILIP VASAN	11 Madison Avenue, New York, NY 10010
	Lewis H Wirshba STEVEN GARNETT TIMOTHY O'HARA CARLOS ONIS ALASTAIR CAIRNS JON KINOL NORM PARTON EDMUND TAYLOR ROBERT SHAFIR

Signatures

Signature DOUGLAS ROSEMAN VP