

**Commonwealth of Kentucky**  
**Alison Lundergan Grimes, Secretary of State**

PARP  
**0633348**  
Alison Lundergan Grimes  
KY Secretary of State  
Received and Filed  
5/16/2018 4:23:12 PM  
Fee receipt: \$15.00

Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

**Annual Report  
Online Filing**

**ARP**

**Company:** CREDIT SUISSE (USA), INC.  
**Company ID:** 0633348  
**State of origin:** Delaware  
**Formation date:** 3/1/2006 12:00:00 AM  
**Date filed:** 5/16/2018 4:23:12 PM  
**Fee:** \$15.00

**Principal Office**

11 MADISON AVE.  
NY, NY 10010

**Registered Agent Name/Address**

Corporation Service Company  
421 WEST MAIN STREET  
FRANKFORT, KY 40601

**Current Officers**

President	ERIC VARVEL	11 Madison Avenue, NY, NY 10010
Secretary	MARY WYNPERLE	1 Madison Avenue, NY, NY 10010
Treasurer	THOMAS HARROP	11 Madison Avenue, NY, NY 10010
Vice President	THOMAS FINLAN	11 Madison Avenue, NY, NY 10010

**Directors**

Director	BRIAN CHIN	11 Madison Avenue, New York, NY 10010
Director	TIMOTHY O'HARA	11 Madison Avenue, New York, NY 10010
Director	JOHN TINER	11 Madison Avenue, New York, NY 10010
Director	BRUCE RICHARDS	11 Madison Avenue, New York, NY 10010
Director	JAMES AMINE	11 Madison Avenue, New York, NY 10010
Director	JERRY LEAMON	11 Madison Avenue, New York, NY 10010
Director	JOACHIM OECHSLIN	11 Madison Avenue, New York, NY 10010

Business size:	Small
Business type:	Holding and Other Investment Offices

**Signatures**

<b>Signature</b>	THOMAS A FINLAN JR
<b>Title</b>	VP