

**Commonwealth of Kentucky**  
**Alison Lundergan Grimes, Secretary of State**

PARP

0648248

Alison Lundergan Grimes

KY Secretary of State

Received and Filed

6/18/2019 1:56:06 PM

Fee receipt: \$15.00

Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

**Annual Report  
Online Filing**

**ARP**

**Company:** FRANKLIN/TEMPLETON DISTRIBUTORS, INC.  
**Company ID:** 0648248  
**State of origin:** New York  
**Formation date:** 10/3/2006 12:00:00 AM  
**Date filed:** 6/18/2019 1:56:06 PM  
**Fee:** \$15.00

**Principal Office**

ONE FRANKLIN PARKWAY  
SAN MATEO , CA 94403-1906

**Registered Agent Name/Address**

C T CORPORATION SYSTEM  
306 W MAIN ST  
SUITE 512  
FRANKFORT, KY 40601

**Current Officers**

|                     |                        |   |
|---------------------|------------------------|---|
| CFO                 | Angelina Hendraka      | One Franklin Parkway, San Mateo, CA 94403           |
| President           | Daniel T. O'Lear       | 101 JFK Pkwy, Short Hills, NJ 07078                 |
| Assistant Secretary | Steven J. Gray         | One Franklin Parkway, San Mateo, CA 94403           |
| Assistant Secretary | Virginia E. Rosas      | One Franklin Parkway, San Mateo, CA 94403           |
| Treasurer           | Gwen L. Shaneyfelt     | One Franklin Parkway, San Mateo, CA 94403           |
| Vice President      | Yaqub Ahmed            | 140 Fountain Parkway, St. Petersburg, Florida 33716 |
| Vice President      | Ronice L. Barlow       | 200 King Street West, Toronto, ON M5H T4, Canada    |
| Vice President      | Alison J. Baumann      | One Franklin Parkway, San Mateo, CA 94403           |
| Vice President      | Pierre Caramazza       | 140 Fountain Parkway, St. Petersburg, Florida 33716 |
| Vice President      | Joseph L. Epstein, Jr. | 140 Fountain Parkway, St. Petersburg, Florida 33716 |
| Vice President      | Jimmy A. Escobedo      | One Franklin Parkway, San Mateo, CA 94403           |
| Vice President      | Robert M. Geppner      | 140 Fountain Parkway, St. Petersburg, Florida 33716 |
| Vice President      | Daniel R. Grip         | 140 Fountain Parkway, St. Petersburg, Florida 33716 |
| Vice President      | Michael D. Mahoney     | 3366 Quality Drive, Rancho Cordova, CA 95670        |
| Executive           | Robert Lim             | One Franklin Parkway, San Mateo, CA 94403           |
| Vice President      | Roger J. Michaud       | 140 Fountain Parkway, St. Petersburg, Florida 33716 |
| Vice President      | Jeffery Rose           | One Franklin Parkway, San Mateo, CA 94403           |
| Vice President      | Steven J. Gray         | One Franklin Parkway, San Mateo, CA 94403           |
| Vice President      | Sean B. O'Malley       | One Franklin Parkway, San Mateo, CA 94403           |
| Vice President      | Christina Strukel      | 140 Fountain Parkway, St. Petersburg, Florida 33716 |
| Vice President      | David McSpadden        | One Franklin Parkway, San Mateo, CA 94403           |

**Directors**

|          |                  |   |
|----------|------------------|---|
| Director | DANIEL T O'LEAR  | One Franklin Parkway, San Mateo, CA 94403 |
| Director | JED A PLAFKER    | One Franklin Parkway, San Mateo, CA 94403 |
| Director | Robert M Geppner | One Franklin Parkway, San Mateo, CA 94403 |

**Commonwealth of Kentucky**  
**Alison Lundergan Grimes, Secretary of State**

Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

**Annual Report  
Online Filing**

**ARP**

|                |   |
|----------------|---|
| Business size: | Small   |
| Business type: | Security & Commodity Brokers, Dealers, Exchanges & Services |

**Signatures**

**Signature**  
**Title**

Virginia E. Rosas  
Assistant Secretary

