

KENTUCKY SECRETARY OF STATE  
P.O.BOX 718  
FRANKFORT, KY 40602



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## Certificate of Revocation

I, Alison Lundergan Grimes, Secretary of State of the Commonwealth of Kentucky, do hereby certify that according to the records in the Office of the Secretary of State,

## LEGENT CLEARING LLC

did not file its 2012 annual report within sixty days after it was due. Accordingly, the Secretary of State revoked the limited liability company's authority to transact business in Kentucky on September 11, 2012.

## IMPORTANT NOTICE

**LEGENT CLEARING LLC**  
**9300 UNDERWOOD AVENUE**  
**SUITE 400**  
**OMAHA NE 68114**



*Alison Lundergan Grimes*

Alison Lundergan Grimes  
Secretary of State