

Commonwealth of Kentucky
Alison Lundergan Grimes, Secretary of State

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Alison Lundergan Grimes
KY Secretary of State
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**Statement of Change of
Principal Office Address**

POC

Pursuant to the provisions of KRS chapters 271B, 273, 275, or 362, the undersigned hereby applies to change the principal office on behalf of

CETERA INVESTMENT MANAGEMENT LLC

which is organized in the state of Delaware, and for that purpose submits the following statements:

1. Address of current principal office

400 FIRST STREET SOUTH
SUITE 300
ST. CLOUD, MN 56301

2. Principal office is hereby changed to:

200 N PACIFIC COAST HWY
SUITE 1200
EL SEGUNDO, CA 90245

3. Signature of officer or chairman of the board

MARK SHELSON, TREASURER

Signature and Title

Type or print name and title

12/20/2018 11:12 AM

Date