

Commonwealth of Kentucky
Alison Lundergan Grimes, Secretary of State

LARP

0855150

Alison Lundergan Grimes

KY Secretary of State

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Alison Lundergan Grimes
Secretary of State
P. O. Box 1150
Frankfort, KY 40602-1150
(502) 564-3490
<http://www.sos.ky.gov>

**Annual Report
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ARP

Company: CETERA INVESTMENT MANAGEMENT LLC
Company ID: 0855150
State of origin: Delaware
Formation date: 4/15/2013 12:00:00 AM
Date filed: 6/17/2019 10:26:32 AM
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Principal Office

200 N PACIFIC COAST HWY
SUITE 1200
EL SEGUNDO, CA 90245

Registered Agent Name/Address

CORPORATION SERVICE COMPANY
421 WEST MAIN STREET
FRANKFORT, KY 40601

Members/Managers

Manager JOSEPH NEARY 200 N PACIFIC COAST HWY STE 1200 EL SEGUNDO CA 90245

Business size: Small
Business type: Security & Commodity Brokers, Dealers, Exchanges & Services

Signatures

Signature MARK SHELSON
Title TREASURER