

Commonwealth of Kentucky
Michael G. Adams, Secretary of State

LARP
0855150
Michael G. Adams
KY Secretary of State
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Secretary of State
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**Annual Report
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ARP

Company: CETERA INVESTMENT MANAGEMENT LLC
Company ID: 0855150
State of origin: Delaware
Formation date: 4/15/2013 12:00:00 AM
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Principal Office

200 N PACIFIC COAST HWY
SUITE 1200
EL SEGUNDO, CA 90245

Registered Agent Name/Address

CORPORATION SERVICE COMPANY
421 WEST MAIN STREET
FRANKFORT, KY 40601

Members/Managers

Manager	JOSEPH NEARY	200 N PACIFIC COAST HWY STE 1200 EL SEGUNDO CA 90245
Manager	THOMAS TAYLOR	200 N PACIFIC COAST HWY STE 1200 EL SEGUNDO CA 90245
Manager	THOMAS GOOLEY	200 N PACIFIC COAST HWY STE 1200 EL SEGUNDO CA 90245

Signatures

Signature	GARY CHAFFIN
Title	TAX OFFICER