



**COMMONWEALTH OF KENTUCKY
MICHAEL ADAMS, SECRETARY OF STATE**

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POC

Michael G. Adams
Kentucky Secretary of State
 Received and Filed:
 3/21/2022 11:35 AM
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Division of Business Filings
 P.O. Box 718
 Frankfort, KY 40602
 (502) 564-3490

Statement of Change of Principal Office Address

POC

Pursuant to the provisions of KRS 14A and KRS 271B, 273, 274, 275, 362 or 386 the undersigned hereby applies to change the principal office address on behalf of

Cetera Investment Management LLC and for that purpose submits the following:
 (The name must be identical to the name on record with the Secretary of State.)

1. Principal office address currently on file:

Principal office is hereby changed to:

200 N Pacific Coast Hwy, Ste 1200

El Segundo, CA 90245

2301 Rosecrans Ave., Ste. 5100

El Segundo, CA 90245

Fee: The fee for this filing is \$10.

I declare under penalty of perjury under the laws of the state of Kentucky that the foregoing is true and correct.

DocuSigned by:

X *Joseph Neary*
 Signature of Authorized Agent

Joseph Neary

Printed Name

3/3/2022

Date