

Commonwealth of Kentucky
Michael G. Adams, Secretary of State

LARP

0855150
Michael G. Adams
KY Secretary of State
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Secretary of State
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**Annual Report
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ARP

Company: CETERA INVESTMENT MANAGEMENT LLC
Company ID: 0855150
State of origin: Delaware
Formation date: 4/15/2013 12:00:00 AM
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Principal Office

2301 ROSECRANS AVE
SUITE 5100
EL SEGUNDO, CA 90245

Registered Agent Name/Address

CORPORATION SERVICE COMPANY
421 WEST MAIN STREET
FRANKFORT, KY 40601

Members/Managers

Member	JOSEPH NEARY	2301 ROSECRANS AVE, STE 5100, EL SEGUNDO, CA 90245
Member	THOMAS TAYLOR	2301 ROSECRANS AVE, STE 5100, EL SEGUNDO, CA 90245
Member	THOMAS GOOLEY	2301 ROSECRANS AVE, STE 5100, EL SEGUNDO, CA 90245
Member	GARY CHAFFIN	2301 ROSECRANS AVE, STE 5100, EL SEGUNDO, CA 90245
Member	DAVID VAN HAVERMAAT	2301 ROSECRANS AVE, STE 5100, EL SEGUNDO, CA 90245

Signatures

Signature	GARY CHAFFIN
Title	TAX OFFICER