

Commonwealth of Kentucky
Michael G. Adams, Secretary of State

LARP

0855150
Michael G. Adams
KY Secretary of State
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Michael G. Adams
Secretary of State
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**Annual Report
Online Filing
For the Year 2023**

ARP

Company: CETERA INVESTMENT MANAGEMENT LLC
Company ID: 0855150
State of origin: Delaware
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Principal Office
2301 ROSECRANS AVE
SUITE 5100
EL SEGUNDO, CA 90245

Registered Agent Name/Address
CORPORATION SERVICE COMPANY
421 WEST MAIN STREET
FRANKFORT, KY 40601

Members/Managers

Member	JOSEPH D. NEARY	655 W. BROADWAY, 12TH FLOOR, SAN DIEGO, CA 92101
Member	THOMAS B. TAYLOR	200 N. PACIFIC COAST HWY, STE 1300, EL SEGUNDO, CA 90245
Member	THOMAS A. GOOLEY	2301 ROSECRANS AVE, STE 5100, EL SEGUNDO, CA 90245

Signatures

Signature	GARY L. CHAFFIN
Title	TAX OFFICER