LARP

Commonwealth of Kentucky Alison Lundergan Grimes, Secretary o

0855150 Alison Lundergan Grimes KY Secretary of State Received and Filed

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Alison Lundergan Grimes Secretary of State P. O. Box 1150 Frankfort, KY 40602-1150 (502) 564-3490 http://www.sos.ky.gov

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ARP

Company: TOWER SQUARE INVESTMENT MANAGEMENT LLC

Company ID: 0855150
State of origin: Delaware

Formation date: 4/15/2013 12:00:00 AM Date filed: 6/20/2017 9:43:44 AM Fee: \$15.00

Principal Office

400 FIRST STREET SOUTH SUITE 300 ST. CLOUD, MN 56301

Registered Agent Name/Address

CT CORPORATION SYSTEM 306 W. MAIN STREET SUITE 512 FRANKFORT, KY 40601

Members/Managers

Manager ADAM ANTONIADES 655 WEST BROADWAY 12TH FL SAN DIEGO CA 92101 Manager JAMES DAVID BALLARD 200 N SEPULVEDA BLVD STE 1200 EL EGUNDO CA 90245

Business size: Small

Business type: Security & Commodity Brokers, Dealers, Exchanges & Services

Signatures

Signature GREG OLSON

Title ASSISTANT SECRETARY