

Commonwealth of Kentucky
Alison Lundergan Grimes, Secretary of State

LARP

0855150

Alison Lundergan Grimes

KY Secretary of State

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**Annual Report
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ARP

Company: CETERA INVESTMENT MANAGEMENT LLC
Company ID: 0855150
State of origin: Delaware
Formation date: 4/15/2013 12:00:00 AM
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Principal Office

400 FIRST STREET SOUTH
SUITE 300
ST. CLOUD, MN 56301

Registered Agent Name/Address

CT CORPORATION SYSTEM
306 W. MAIN STREET
SUITE 512
FRANKFORT, KY 40601

Members/Managers

Manager	ENRIQUE M VASQUEZ	200 N MARTINGALE RD SCHAUMBURG, IL 60173
Manager	STEVEN J DUNLAP, JR	200 N SEPULVEDA BLVD EL SEGUNDO, CA 90245
Manager	DOUGLAS S KING	200 N SEPULVEDA BLVD EL SEGUNDO, CA 90245

Signatures

Signature	GREG A OLSON
Title	ASSISTANT SECRETARY