

**KENTUCKY SECRETARY OF STATE
P.O.BOX 718
FRANKFORT, KY 40602**



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Revocation of Certificate of Authority

I, Michael G. Adams, Secretary of State of the Commonwealth of Kentucky, do hereby certify that according to the records in the Office of the Secretary of State,

Client One Securities LLC

did not file its 2021 annual report within sixty days after it was due. Accordingly, the Secretary of State revoked the limited liability company's authority to transact business in Kentucky on October 19, 2021.

IMPORTANT NOTICE

**CLIENT ONE SECURITIES LLC
11460 TOMAHAWK CREEK PARKWAY, STE.
200
LEAWOOD KS 66211**



Michael G. Adams

Secretary of State