

Commonwealth of Kentucky  
Alison Lundergan Grimes, Secretary of State

PARP  
0802251  
Alison Lundergan Grimes  
KY Secretary of State  
Received and Filed  
6/19/2017 3:08:45 PM  
Fee receipt: \$15.00

Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

Annual Report  
Online Filing

ARP

**Company:** CETERA FINANCIAL GROUP, INC.  
**Company ID:** 0802251  
**State of origin:** Delaware  
**Formation date:** 10/5/2011 12:00:00 AM  
**Date filed:** 6/19/2017 3:08:45 PM  
**Fee:** \$15.00

**Principal Office**

200 N. SEPULVEDA BLVD.  
SUITE 1200  
EL SEGUNDA, CA 90245

**Registered Agent Name/Address**

C T CORPORATION SYSTEM  
306 W. MAIN STREET  
SUITE 512  
FRANKFORT, KY 40601

**Current Officers**

President	ADAM ANTONIADES	200 N. Sepulveda Blvd. Suite 1200, El Segundo CA 90245
Assistant Secretary	Greg A Olson	400 1st Street South, Suite 300, St Cloud MN 56301
Treasurer	Mark P Shelson	400 1st Street South, Suite 300, St Cloud MN 56301

**Directors**

Director	ROBERT MOORE	655 W BROADWAY 12TH FL SAN DIEGO CA 92101
Director	ADAM ANTONIADES	655 W BROADWAY 12TH FL SAN DIEGO CA 92101
Director	JAMES DAVID BALLARD	200N SEPULVEDA BLVD STE 1200 EL SEGUNDO CA 90245

Business size:	Large
Business type:	Holding and Other Investment Offices

**Signatures**

Signature	GREG OLSON
Title	ASSISTANT SECRETARY