

Commonwealth of Kentucky
Alison Lundergan Grimes, Secretary of State

PARP
0573452
Alison Lundergan Grimes
KY Secretary of State
Received and Filed
6/28/2012 8:58:46 AM
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Alison Lundergan Grimes
Secretary of State
P. O. Box 1150
Frankfort, KY 40602-1150
(502) 564-3490
<http://www.sos.ky.gov>

Annual Report
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ARP

Company: USAA FINANCIAL ADVISORS, INC
Company ID: 0573452
State of origin: Delaware
Formation date: 12/4/2003 12:00:00 AM
Date filed: 6/28/2012 8:58:46 AM
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Principal Office

9800 FREDERICKSBURG RD
SAN ANTONIO, TX 78288

Registered Agent Name/Address

C T CORPORATION SYSTEM
306 W MAIN ST
SUITE 512
FRANKFORT, KY 40601

Current Officers

| | | |
|---------------------|---------------------|--|
| President | S. WAYNE PEACOCK | 9800 Fredericksburg Road San Antonio, TX 78288 |
| Assistant Secretary | Daniel J. Mavico | 9800 Fredericksburg Road San Antonio, TX 78288 |
| Secretary | Christine J. Warren | 9800 Fredericksburg Road San Antonio, TX 78288 |
| Treasurer | WILLIAM A. SMITH | 9800 Fredericksburg Road San Antonio, TX 78288 |
| Vice President | DAVID SMITH | 9800 Fredericksburg Road San Antonio, TX 78288 |
| Vice President | KIRSTEN REGISTER | 9800 Fredericksburg Road San Antonio, TX 78288 |
| Vice President | SCOTT ALLISON | 9800 Fredericksburg Road San Antonio, TX 78288 |
| Vice President | H. Keith Winn | 9800 Fredericksburg Road San Antonio, TX 78288 |
| Vice President | PATRICK WAGEMAN | 9800 Fredericksburg Road San Antonio, TX 78288 |
| Vice President | Charles K. Craft | 9800 Fredericksburg Road San Antonio, TX 78288 |
| Vice President | Larry Rosenberger | 9800 Fredericksburg Road San Antonio, TX 78288 |
| Vice President | Kenneth W. Smith | 9800 Fredericksburg Road San Antonio, TX 78288 |

Directors

| | | |
|----------|----------------------|--|
| Director | STUART PARKER | 9800 Fredericksburg Road San Antonio, TX 78288 |
| Director | Christopher W. Claus | 9800 Fredericksburg Road San Antonio, TX 78288 |
| Director | S. WAYNE PEACOCK | 9800 Fredericksburg Road San Antonio, TX 78288 |

Signatures

| | |
|------------------|---------------------|
| Signature | Christine J. Warren |
| Title | Secretary |