

Commonwealth of Kentucky  
Alison Lundergan Grimes, Secretary of State

PARP  
0573452  
Alison Lundergan Grimes  
KY Secretary of State  
Received and Filed  
6/18/2013 2:37:31 PM  
Fee receipt: \$15.00

Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

Annual Report  
Online Filing

ARP

**Company:** USAA FINANCIAL ADVISORS, INC  
**Company ID:** 0573452  
**State of origin:** Delaware  
**Formation date:** 12/4/2003 12:00:00 AM  
**Date filed:** 6/18/2013 2:37:31 PM  
**Fee:** \$15.00

**Principal Office**

9800 FREDERICKSBURG RD  
SAN ANTONIO, TX 78288

**Registered Agent Name/Address**

C T CORPORATION SYSTEM  
306 W MAIN ST  
SUITE 512  
FRANKFORT, KY 40601

**Current Officers**

President	S. WAYNE PEACOCK	9800 Fredericksburg Road San Antonio, TX 78288
Assistant Secretary	Daniel J. Mavico	9800 Fredericksburg Road San Antonio, TX 78288
Secretary	Christine J. Warren	9800 Fredericksburg Road San Antonio, TX 78288
Treasurer	WILLIAM A. SMITH	9800 Fredericksburg Road San Antonio, TX 78288
Vice President	DAVID SMITH	9800 Fredericksburg Road San Antonio, TX 78288
Vice President	KIRSTEN REGISTER	9800 Fredericksburg Road San Antonio, TX 78288
Vice President	SCOTT ALLISON	9800 Fredericksburg Road San Antonio, TX 78288
Vice President	H. Keith Winn	9800 Fredericksburg Road San Antonio, TX 78288
Vice President	PATRICK WAGEMAN	9800 Fredericksburg Road San Antonio, TX 78288
Vice President	Charles K. Craft	9800 Fredericksburg Road San Antonio, TX 78288
Vice President	Larry Rosenberger	9800 Fredericksburg Road San Antonio, TX 78288
Vice President	Kenneth W. Smith	9800 Fredericksburg Road San Antonio, TX 78288

**Directors**

Director	STUART PARKER	9800 Fredericksburg Road San Antonio, TX 78288
Director	Christopher W. Claus	9800 Fredericksburg Road San Antonio, TX 78288
Director	S. WAYNE PEACOCK	9800 Fredericksburg Road San Antonio, TX 78288

**Signatures**

<b>Signature</b>	DANIEL J. MAVICO
<b>Title</b>	ASSISTANT SECRETARY