

Commonwealth of Kentucky
Alison Lundergan Grimes, Secretary of State

LARP
0844653
Alison Lundergan Grimes
KY Secretary of State
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Alison Lundergan Grimes
Secretary of State
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**Annual Report
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ARP

Company: CETERA INVESTMENT SERVICES LLC
Company ID: 0844653
State of origin: Delaware
Formation date: 12/12/2012 12:00:00 AM
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Principal Office

400 1ST STREET SOUTH
SUITE 300
ST. CLOUD, MN 56301

Registered Agent Name/Address

C T CORPORATION SYSTEM
306 W. MAIN STREET
SUITE 512
FRANKFORT, KY 40601

Members/Managers

Manager	VALERIE G BROWN	200 N SEPULVEDA BLVD.,STE 1200, EL SEGUNDO, CA 90245
Manager	BARNABY GRIST	200 N SEPULVEDA BLVD.,STE 1200, EL SEGUNDO, CA 90245
Manager	CATHERINE M BONNEAU	400 1ST STREET SOUTH STE 300, ST CLOUD, MN 56301

Signatures

Signature	GREG A OLSON
Title	ASSISTANT SECRETARY