

Commonwealth of Kentucky
Alison Lundergan Grimes, Secretary of State

LARP

0844653

Alison Lundergan Grimes

KY Secretary of State

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Alison Lundergan Grimes
Secretary of State
P. O. Box 1150
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**Annual Report
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ARP

Company: CETERA INVESTMENT SERVICES LLC
Company ID: 0844653
State of origin: Delaware
Formation date: 12/12/2012 12:00:00 AM
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Principal Office

400 1ST STREET SOUTH
SUITE 300
ST. CLOUD, MN 56301

Registered Agent Name/Address

C T CORPORATION SYSTEM
306 W. MAIN STREET
SUITE 512
FRANKFORT, KY 40601

Members/Managers

| | | |
|---------|---------------------|--|
| Manager | R LAWERENCE ROTH | 200 N SEPULVEDA BLVD.,STE 1200, EL SEGUNDO, CA 90245 |
| Manager | STEVEN J DUNLAP JR. | 200 N SEPULVEDA BLVD.,STE 1200, EL SEGUNDO, CA 90245 |
| Manager | CATHERINE M BONNEAU | 400 1ST STREET SOUTH STE 300, ST CLOUD, MN 56301 |

Signatures

| | |
|------------------|---------------------|
| Signature | GREG A OLSON |
| Title | ASSISTANT SECRETARY |