

Commonwealth of Kentucky
Alison Lundergan Grimes, Secretary of State

LARP

0844653

Alison Lundergan Grimes

KY Secretary of State

Received and Filed

6/17/2019 10:18:10 AM

Fee receipt: \$15.00

Alison Lundergan Grimes
Secretary of State
P. O. Box 1150
Frankfort, KY 40602-1150
(502) 564-3490
<http://www.sos.ky.gov>

**Annual Report
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ARP

Company: CETERA INVESTMENT SERVICES LLC
Company ID: 0844653
State of origin: Delaware
Formation date: 12/12/2012 12:00:00 AM
Date filed: 6/17/2019 10:18:10 AM
Fee: \$15.00

Principal Office

400 1ST STREET SOUTH
SUITE 300
ST. CLOUD, MN 56301

Registered Agent Name/Address

CORPORATION SERVICE COMPANY
421 WEST MAIN STREET
FRANKFORT, KY 40601

Members/Managers

| | | |
|---------|---------------------|--|
| Manager | JOSEPH NEARY | 200 N PACIFIC COAST HWY., STE 1200, EL SEGUNDO, CA 90245 |
| Manager | CATHERINE M BONNEAU | 400 1ST STREET SOUTH STE 300, ST CLOUD, MN 56301 |
| Manager | LEANN RUMMEL | 400 1ST STREET SOUTH STE 300, ST CLOUD, MN 56301 |

| | |
|----------------|---|
| Business size: | Large |
| Business type: | Security & Commodity Brokers, Dealers, Exchanges & Services |

Signatures

| | |
|------------------|--------------|
| Signature | MARK SHELSON |
| Title | TREASURER |