

**Commonwealth of Kentucky**  
**Michael G. Adams, Secretary of State**

LARP

0844653

Michael G. Adams  
KY Secretary of State

Received and Filed

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Michael G. Adams  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

**Annual Report  
Online Filing**

**ARP**

**Company:** CETERA INVESTMENT SERVICES LLC  
**Company ID:** 0844653  
**State of origin:** Delaware  
**Formation date:** 12/12/2012 12:00:00 AM  
**Date filed:** 5/12/2022 2:46:33 PM  
**Fee:** \$15.00

**Principal Office**

400 1ST STREET SOUTH  
SUITE 300  
ST. CLOUD, MN 56301

**Registered Agent Name/Address**

CORPORATION SERVICE COMPANY  
421 WEST MAIN STREET  
FRANKFORT, KY 40601

**Members/Managers**

Member	JOSEPH NEARY	2301 ROSECRANS AVE, STE 5100, EL SEGUNDO, CA 90245
Member	LEANN RUMMEL	400 1ST STREET SOUTH STE 300, ST CLOUD, MN 56301
Member	THOMAS TAYLOR	2301 ROSECRANS AVE, STE 5100, EL SEGUNDO, CA 90245
Member	GARY CHAFFIN	2301 ROSECRANS AVE, STE 5100, EL SEGUNDO, CA 90245
Member	DAVID VAN HAVERMAAT	2301 ROSECRANS AVE, STE 5100, EL SEGUNDO, CA 90245

**Signatures**

<b>Signature</b>	GARY CHAFFIN
<b>Title</b>	TAX OFFICER