LARP

Commonwealth of Kentucky Michael G. Adams, Secretary of St.

0844653 Michael G. Adams KY Secretary of State Received and Filed

7/13/2023 4:32:39 PM Fee receipt: \$15.00

Michael G. Adams Secretary of State P. O. Box 1150 Frankfort, KY 40602-1150 (502) 564-3490 http://www.sos.ky.gov

Annual Report Online Filing For the Year 2023

ARP

Company: CETERA INVESTMENT SERVICES LLC

Company ID: 0844653 State of origin: Delaware

Formation date: 12/12/2012 12:00:00 AM Date filed: 7/13/2023 4:31:54 PM

Fee: \$15.00

Principal Office

400 1ST STREET SOUTH

SUITE 300

ST. CLOUD, MN 56301

Registered Agent Name/Address

CORPORATION SERVICE COMPANY 421 WEST MAIN STREET FRANKFORT, KY 40601

Members/Managers

MemberJOSEPH D. NEARYMemberLEANN R. RUMMELMemberTHOMAS B. TAYLOR

655 W. BROADWAY, 12TH FLOOR, SAN DIEGO, CA 92101 400 FIRST STREET SOUTH, STE 300, ST CLOUD, MN 56301 200 N. PACIFIC COAST HWY, STE 1300, EL SEGUNDO, CA

90245

Signatures

Signature GARY L. CHAFFIN TAX OFFICER