## Commonwealth of Kentucky Michael G. Adams, Secretary of St.

0844653 Michael G. Adams KY Secretary of State Received and Filed

6/28/2024 1:05:55 PM Fee receipt: \$15.00

Michael G. Adams Secretary of State P. O. Box 1150 Frankfort, KY 40602-1150 (502) 564-3490 http://www.sos.ky.gov

## Annual Report Online Filing For the Year 2024

**ARP** 

Company: CETERA INVESTMENT SERVICES LLC

Company ID: 0844653 State of origin: Delaware

Formation date: 12/12/2012 12:00:00 AM Date filed: 6/28/2024 1:05:30 PM

Fee: \$15.00

**Principal Office** 

400 1ST STREET SOUTH

SUITE 300

ST. CLOUD, MN 56301

## Registered Agent Name/Address

CORPORATION SERVICE COMPANY 421 WEST MAIN STREET FRANKFORT, KY 40601

## Members/Managers

Member JOSEPH D. NEARY
Member LEANN R. RUMMEL
Member THOMAS B. TAYLOR

655 W. BROADWAY, 12TH FLOOR, SAN DIEGO, CA 92101 400 FIRST STREET SOUTH, STE 300, ST CLOUD, MN 56301 200 N. PACIFIC COAST HWY, STE 1300, EL SEGUNDO, CA

90245

County: Franklin Business size: Small

Business type: Holding and other Investment Offices

**Signatures** 

Signature Gary L. Chaffin

Title Authorized Person