

**Commonwealth of Kentucky**  
**Michael G. Adams, Secretary of State**

LARP

0914853

Michael G. Adams  
KY Secretary of State

Received and Filed

6/14/2022 12:26:15 PM

Fee receipt: \$15.00

Michael G. Adams  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

**Annual Report  
Online Filing**

**ARP**

**Company:** CAPFINANCIAL SECURITIES, LLC  
**Company ID:** 0914853  
**State of origin:** North Carolina  
**Formation date:** 2/23/2015 12:00:00 AM  
**Date filed:** 6/14/2022 12:26:15 PM  
**Fee:** \$15.00

**Principal Office**

4208 SIX FORKS ROAD  
SUITE 1700  
RALEIGH, NC 27609

**Registered Agent Name/Address**

Corporation Service Company  
421 WEST MAIN STREET  
FRANKFORT, KY 40601

**Members/Managers**

Member	Fielding Miller	4208 Six Forks Road, Suite 1700, Raleigh, NC 27609
Member	Denise Buchanan	4208 Six Forks Road, Suite 1700, Raleigh, NC 27609
Member	Wilson S. Hoyle	Suite 1700 4208 Six Forks Road, Raleigh, NC 27609

**Signatures**

<b>Signature</b>	Mary Earls
<b>Title</b>	AUTHORIZED PERSON