LARP

Commonwealth of Kentucky Michael G. Adams, Secretary of St.

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Michael G. Adams Secretary of State P. O. Box 1150 Frankfort, KY 40602-1150 (502) 564-3490 http://www.sos.ky.gov

Annual Report Online Filing For the Year 2023

ARP

Company: CAPFINANCIAL SECURITIES, LLC

Company ID: 0914853
State of origin: North Carolina

Formation date: 2/23/2015 12:00:00 AM Date filed: 6/12/2023 10:42:41 AM

Fee: \$15.00

Principal Office

4208 SIX FORKS ROAD

SUITE 1700

RALEIGH, NC 27609

Registered Agent Name/Address

Corporation Service Company 421 WEST MAIN STREET FRANKFORT, KY 40601

Members/Managers

MemberFielding Miller4208 Six Forks Road, Suite 1700, Raleigh, NC 27609MemberDenise Buchanan4208 Six Forks Road, Suite 1700, Raleigh, NC 27609MemberWilson S. HoyleSuite 1700 4208 Six Forks Road, Raleigh, NC 27609

Signatures

Signature Mary Earls
Title Authorized Person