

**Commonwealth of Kentucky**  
**Michael G. Adams, Secretary of State**

LARP  
0914853  
Michael G. Adams  
KY Secretary of State  
Received and Filed  
6/27/2024 4:30:10 PM  
Fee receipt: \$15.00

Michael G. Adams  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

**Annual Report  
Online Filing  
For the Year 2024**

**ARP**

**Company:** CAPFINANCIAL SECURITIES, LLC  
**Company ID:** 0914853  
**State of origin:** North Carolina  
**Formation date:** 2/23/2015 12:00:00 AM  
**Date filed:** 6/27/2024 4:29:19 PM  
**Fee:** \$15.00

**Principal Office**

4208 SIX FORKS ROAD  
SUITE 1700  
RALEIGH, NC 27609

**Registered Agent Name/Address**

Corporation Service Company  
421 WEST MAIN STREET  
FRANKFORT, KY 40601

**Members/Managers**

Member	Fielding Miller	4208 Six Forks Road, Suite 1700, Raleigh, NC 27609
Member	Denise Buchanan	4208 Six Forks Road, Suite 1700, Raleigh, NC 27609
Member	Wilson S. Hoyle	Suite 1700 4208 Six Forks Road, Raleigh, NC 27609

County:	Fayette
Business size:	Small
Business type:	Security & Commodity Brokers, Dealers, Exchanges & Services

**Signatures**

<b>Signature</b>	Mary Earls
<b>Title</b>	Authorized Person