

Commonwealth of Kentucky  
Alison Lundergan Grimes, Secretary of State

PARP  
0527054  
Alison Lundergan Grimes  
KY Secretary of State  
Received and Filed  
4/20/2018 6:00:43 PM  
Fee receipt: \$15.00

Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

Annual Report  
Online Filing

ARP

**Company:** DAVID BATES AND ASSOCIATES, INC.  
**Company ID:** 0527054  
**State of origin:** Kentucky  
**Formation date:** 12/13/2001 12:00:00 AM  
**Date filed:** 4/20/2018 6:00:43 PM  
**Fee:** \$15.00

**Principal Office**

12910 SHELBYVILLE ROAD  
SUITE 250  
LOUISVILLE, KY 40243

**Registered Agent Name/Address**

DAVID BATES  
12910 SHELBYVILLE ROAD  
SUITE 250  
LOUISVILLE, KY 40243

**Current Officers**

President David A Bates 2607 evergreen wynde, louisville, ky 40223

Business type: Security & Commodity Brokers, Dealers, Exchanges & Services

**Signatures**

Signature David Bates  
Title President