

Commonwealth of Kentucky  
Michael G. Adams, Secretary of State

PARP  
0535356  
Michael G. Adams  
KY Secretary of State  
Received and Filed  
5/24/2021 1:37:47 PM  
Fee receipt: \$15.00

Michael G. Adams  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

Annual Report  
Online Filing

ARP

**Company:** HARBOUR INVESTMENTS INC.  
**Company ID:** 0535356  
**State of origin:** Wisconsin  
**Formation date:** 4/19/2002 12:00:00 AM  
**Date filed:** 5/24/2021 1:37:47 PM  
**Fee:** \$15.00

**Principal Office**

575 D'ONOFRIO DRIVE  
SUITE 300  
MADISON, WI 53719

**Registered Agent Name/Address**

COGENCY GLOBAL INC.  
828 LANE ALLEN ROAD  
SUITE 219  
LEXINGTON, KY 40504

**Current Officers**

|                |                       |                                                   |
|----------------|-----------------------|---------------------------------------------------|
| CEO            | Nick W. Sondel        | 575 Donofrio Drive, Suite 300, Madison, WI, 53719 |
| COO            | Rhonda Meyer          | 575 Donofrio Drive, Suite 300, Madison, WI, 53719 |
| President      | Aaron Hager           | 575 Donofrio Drive, Suite 300, Madison, WI, 53719 |
| Secretary      | Rhonda Meyer          | 575 Donofrio Drive, Suite 300, Madison, WI, 53719 |
| Vice President | Richard A. O'Leary    | 575 Donofrio Drive, Suite 300, Madison, WI, 53719 |
| Vice President | Rhonda Meyer          | 575 Donofrio Drive, Suite 300, Madison, WI, 53719 |
| Treasurer      | Rhonda Meyer          | 575 Donofrio Drive, Suite 300, Madison, WI, 53719 |
| Vice President | James W. Dushek       | 575 Donofrio Drive, Suite 300, Madison, WI, 53719 |
| Vice President | Daniel T. Wipperfurth | 575 Donofrio Drive, Suite 300, Madison, WI, 53719 |

**Directors**

|          |                |                                                   |
|----------|----------------|---------------------------------------------------|
| Director | Nick W. Sondel | 575 Donofrio Drive, Suite 300, Madison, WI, 53719 |
| Director | Rhonda Meyer   | 575 Donofrio Drive, Suite 300, Madison, WI, 53719 |
| Director | Aaron Hager    | 575 Donofrio Drive, Suite 300, Madison, WI, 53719 |

**Signatures**

|                  |                                                |
|------------------|------------------------------------------------|
| <b>Signature</b> | Rhonda Meyer                                   |
| <b>Title</b>     | Senior VP, COO, Treasurer, Secretary, Director |