



COMMONWEALTH OF KENTUCKY
ALISON LUNDERGAN GRIMES, SECRETARY OF STATE

Division of Business Filings
Business Filings
 PO Box 718
 Frankfort, KY 40602
 (502) 564-3490
 www.sos.ky.gov

Certificate of Authority
(Foreign Business Entity)

FBE

Pursuant to the provisions of KRS 14A and KRS 271B, 273, 274, 275, 362 and 386 the undersigned hereby applies for authority to transact business in Kentucky on behalf of the entity named below and, for that purpose, submits the following statements:

1. The entity is a : ☐ profit corporation (KRS 271B). ☐ nonprofit corporation (KRS 273). ☒ professional service corporation (KRS 274).
☐ business trust (KRS 386). ☐ limited liability company (KRS 275). ☐ professional limited liability company (KRS 275).
☐ limited partnership (KRS 362).

2. The name of the entity is SMBH, Inc.

(The name must be identical to the name on record with the Secretary of State.)

3. The name of the entity to be used in Kentucky is (if applicable):

(Only provide if "real name" is unavailable for use; otherwise, leave blank.)

4. The state or country under whose law the entity is organized is Ohio

5. The date of organization is 05/04/1972

and the period of duration is

(If left blank, the period of duration is considered perpetual.)

6. The mailing address of the entity's principal office is

1166 Dublin Rd., Ste. 200

Columbus

OH

43215

Street Address

City

State

Zip Code

7. The street address of the entity's registered office in Kentucky is

828 Lane Allen Rd., Ste. 219

Lexington

KY

40504

Street Address (No P.O. Box Numbers)

City

State

Zip Code

and the name of the registered agent at that office is Kentucky Lenders Assistance, Inc.

8. The names and business addresses of the entity's representatives (secretary, officers and directors, managers, trustees or general partners):

See attached sheet

Name	Street or P.O. Box	City	State	Zip Code

9. If a professional service corporation, all the individual shareholders, not less than one half (1/2) of the directors, and all of the officers other than the secretary and treasurer are licensed in one or more states or territories of the United States or District of Columbia to render a professional service described in the statement of purposes of the corporation.

10. I certify that, as of the date of filing this application, the above-named entity validly exists under the laws of the jurisdiction of its formation.

11. If a limited partnership, it elects to be a limited liability limited partnership. Check the box if applicable: ☐

12. This application will be effective upon filing, unless a delayed effective date and/or time is provided.

The effective date or the delayed effective date cannot be prior to the date the application is filed. The date and/or time is

(Delayed effective date and/or time)

Robert A. Baumann
 Signature of Authorized Representative

Robert A. Baumann/VP/Treasurer
 Printed Name & Title

7/30/13
 Date

I, Kentucky Lenders Assistance, Inc., consent to serve as the registered agent on behalf of the business entity.
Type/Print Name of Registered Agent

Shannon Steenbergen
 Signature of Registered Agent
 (01/12)

Shannon Steenbergen
 Printed Name

Manager
 Title

7-30-13
 Date

SMBH, Inc.

1166 Dublin Road, Suite 200
Columbus, Ohio 43215-1038
614-481-9800
614-481-9353

Officers

<u>Name</u>	<u>Address</u>
Stephen J. Metz, President	1166 Dublin Rd., Ste. 200, Columbus, OH 43215-1038
Robert A. Baumann, VP/Treasurer	1166 Dublin Rd., Ste. 200, Columbus, OH 43215-1038
Eric J. Messerly, VP	1166 Dublin Rd., Ste. 200, Columbus, OH 43215-1038
Jonathan T. Beier, Secretary	1166 Dublin Rd., Ste. 200, Columbus, OH 43215-1038

Board Members / Principals / Partners of Corporation

Stephen J. Metz, President	1166 Dublin Rd., Ste. 200, Columbus, OH 43215-1038
Robert A. Baumann, VP/Treasurer	1166 Dublin Rd., Ste. 200, Columbus, OH 43215-1038
Eric J. Messerly, VP	1166 Dublin Rd., Ste. 200, Columbus, OH 43215-1038
Jonathan T. Beier, Secretary	1166 Dublin Rd., Ste. 200, Columbus, OH 43215-1038
William R. Shelley, Partner	1166 Dublin Rd., Ste. 200, Columbus, OH 43215-1038