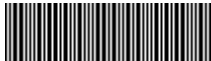


KENTUCKY SECRETARY OF STATE  
P.O.BOX 718  
FRANKFORT, KY 40602



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**Revocation of Certificate of Authority**

I, Michael G. Adams, Secretary of State of the Commonwealth of Kentucky, do hereby certify that according to the records in the Office of the Secretary of State,

**IMPORTANT NOTICE**

**M & T SECURITIES, INC.**

did not file its 2023 annual report within sixty days after it was due. Accordingly, the Secretary of State revoked the corporation's authority to transact business in Kentucky on October 4, 2023.

**M & T SECURITIES, INC.  
M&T SECURITIES, INC.  
1 LIGHT STREET  
13TH FLOOR  
BALTIMORE MD 21202**



*Michael G. Adams*  
Secretary of State