

**Commonwealth of Kentucky**  
**Alison Lundergan Grimes, Secretary of State**

LARP  
**0968064**  
Alison Lundergan Grimes  
KY Secretary of State  
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Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
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<http://www.sos.ky.gov>

**Annual Report  
Online Filing**

**ARP**

**Company:** CITIGROUP GLOBAL MARKETS OPERATIONS AND TECHNOLOGY LLC  
**Company ID:** 0968064  
**State of origin:** Delaware  
**Formation date:** 11/14/2016 12:00:00 AM  
**Date filed:** 6/21/2018 7:38:56 AM  
**Fee:** \$15.00

**Principal Office**

388 GREENWICH ST  
NEW YORK, NY 10013

**Registered Agent Name/Address**

CT CORPORATION SYSTEM  
306 W. MAIN STREET, SUITE 512  
FRANKFORT, KY 40601

**Members/Managers**

Manager	JAGDISH RAO	388 GREENWICH ST, NEW YORK, NY 10013
Manager	JOHN D'ONOFRIO	388 GREENWICH ST, NEW YORK, NY 10013
Manager	TAPODYUTI BOSE	388 GREENWICH ST, NEW YORK, NY 10013
Manager	DONALD R. YOUNG	153 E 53RD ST, NEW YORK, NY 10022
Manager	MICHAEL H. WHITAKER	33 CANADA SQUARE, LONDON, GB E14 5LB

**Signatures**

<b>Signature</b>	JULIE SCHMIDT
<b>Title</b>	ASSISTANT TAX OFFICER