

Commonwealth of Kentucky
Alison Lundergan Grimes, Secretary of State

PARP
0763465
Alison Lundergan Grimes
KY Secretary of State
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Alison Lundergan Grimes
Secretary of State
P. O. Box 1150
Frankfort, KY 40602-1150
(502) 564-3490
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Annual Report
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ARP

Company: 1851 SECURITIES, INC.
Company ID: 0763465
State of origin: Delaware
Formation date: 5/20/2010 12:00:00 AM
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Principal Office

ONE AMERICAN ROW
HARTFORD, CT 06102

Registered Agent Name/Address

CT CORPORATION SYSTEM
306 W. MAIN STREET, SUITE 512
FRANKFORT, KY 40601

Current Officers

| | | |
|---------------------|--------------------|--------------------------------------|
| Assistant Treasurer | Anne M. Kaplan | One American Row, Hartford, CT 06102 |
| Assistant Treasurer | Abbey N. McDermott | One American Row, Hartford, CT 06102 |
| CFO | Gary C. Tebbetts | One American Row, Hartford, CT 06102 |
| President | John H. Beers | One American Row, Hartford, CT 06102 |
| Assistant Secretary | Kathleen A. McGah | One American Row, Hartford, CT 06102 |
| Assistant Secretary | John T. Mulrain | One American Row, Hartford, CT 06102 |
| Secretary | Mary Kate Johnson | One American Row, Hartford, CT 06102 |
| Treasurer | Susan L. Guazzelli | One American Row, Hartford, CT 06102 |
| Executive | Doreen A. Bonner | One American Row, Hartford, CT 06102 |
| Executive | Gina C. O'Connell | One American Row, Hartford, CT 06102 |
| Executive | Richard Elomaa | One American Row, Hartford, CT 06102 |

Directors

| | | |
|----------|----------------------|--------------------------------------|
| Director | Thomas M. Buckingham | One American Row, Hartford, CT 06102 |
| Director | Bonnie J. Malley | One American Row, Hartford, CT 06102 |
| Director | Gina C. O'Connell | One American Row, Hartford, CT 06102 |
| Director | John H. Beers | One American Row, Hartford, CT 06102 |

Signatures

Signature JOHN H. BEERS
Title PRESIDENT AND CHIEF EXECUTIVE OFFICER