

Commonwealth of Kentucky
Alison Lundergan Grimes, Secretary of State

PARP
0763465
Alison Lundergan Grimes
KY Secretary of State
Received and Filed
6/22/2015 2:20:49 PM
Fee receipt: \$15.00

Alison Lundergan Grimes
Secretary of State
P. O. Box 1150
Frankfort, KY 40602-1150
(502) 564-3490
<http://www.sos.ky.gov>

**Annual Report
Online Filing**

ARP

Company: 1851 SECURITIES, INC.
Company ID: 0763465
State of origin: Delaware
Formation date: 5/20/2010 12:00:00 AM
Date filed: 6/22/2015 2:20:49 PM
Fee: \$15.00

Principal Office

ONE AMERICAN ROW
HARTFORD, CT 06102

Registered Agent Name/Address

CT CORPORATION SYSTEM
306 W. MAIN STREET, SUITE 512
FRANKFORT, KY 40601

Current Officers

Assistant Treasurer	Anne M. Kaplan	One American Row, Hartford, CT 06102
Assistant Treasurer	Abbey N. McDermott	One American Row, Hartford, CT 06102
CFO	Gary C. Tebbetts	One American Row, Hartford, CT 06102
President	John H. Beers	One American Row, Hartford, CT 06102
Assistant Secretary	Kathleen A. McGah	One American Row, Hartford, CT 06102
Assistant Secretary	John T. Mulrain	One American Row, Hartford, CT 06102
Secretary	Mary Kate Johnson	One American Row, Hartford, CT 06102
Treasurer	Susan L. Guazzelli	One American Row, Hartford, CT 06102
Executive	Gina C. O'Connell	One American Row, Hartford, CT 06102
Executive	William B. Moorcroft	One American Row, Hartford, CT 06102
Executive	Robert P. Mallick	One American Row, Hartford, CT 06102

Directors

Director	Thomas M. Buckingham	One American Row, Hartford, CT 06102
Director	Bonnie J. Malley	One American Row, Hartford, CT 06102
Director	Gina C. O'Connell	One American Row, Hartford, CT 06102
Director	John H. Beers	One American Row, Hartford, CT 06102

Signatures

Signature	John H. Beers
Title	President and Chief Executive Officer