

Commonwealth of Kentucky  
Alison Lundergan Grimes, Secretary of State

PARP  
0763465  
Alison Lundergan Grimes  
KY Secretary of State  
Received and Filed  
5/31/2016 10:53:49 AM  
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Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

Annual Report  
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ARP

**Company:** 1851 SECURITIES, INC.  
**Company ID:** 0763465  
**State of origin:** Delaware  
**Formation date:** 5/20/2010 12:00:00 AM  
**Date filed:** 5/31/2016 10:53:49 AM  
**Fee:** \$15.00

**Principal Office**

ONE AMERICAN ROW  
HARTFORD, CT 06102

**Registered Agent Name/Address**

CT CORPORATION SYSTEM  
306 W. MAIN STREET, SUITE 512  
FRANKFORT, KY 40601

**Current Officers**

Assistant Treasurer	Anne M. Kaplan	One American Row, Hartford, CT 06102
Assistant Treasurer	Abbey N. McDermott	One American Row, Hartford, CT 06102
CFO	Gary C. Tebbetts	15 Tech Valley Drive, Suite 201, East Greenbush, NY 12061
President	John H. Beers	One American Row, Hartford, CT 06102
Assistant Secretary	Kathleen A. McGah	One American Row, Hartford, CT 06102
Assistant Secretary	John T. Mulrain	One American Row, Hartford, CT 06102
Secretary	Mary Kate Johnson	One American Row, Hartford, CT 06102
Treasurer	Susan L. Guazzelli	One American Row, Hartford, CT 06102
Executive	Gina C. O'Connell	One American Row, Hartford, CT 06102
Executive	William B. Moorcroft	One American Row, Hartford, CT 06102
Executive	Robert P. Mallick	One American Row, Hartford, CT 06102

**Directors**

Director	Thomas M. Buckingham	One American Row, Hartford, CT 06102
Director	Bonnie J. Malley	One American Row, Hartford, CT 06102
Director	Gina C. O'Connell	One American Row, Hartford, CT 06102
Director	John H. Beers	One American Row, Hartford, CT 06102

**Signatures**

**Signature** John H. Beers  
**Title** President and Chief Executive Officer